

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner

Not yet assigned

Group Art Unit

1645

Applicant(s)

Stephen M. Strittmatter, et al.

Application No.

09/972,546

Confirmation No.

4440

Filed

October 6, 2001

For

NOGO RECEPTOR HOMOLOGS

New York, New York February 22, 2002

Hon. Commissioner for Patents Washington, D.C. 20231

TRANSMITTAL LETTER FOR INFORMATION DISCLOSURE STATEMENT

Sir:

Transmitted herewith is an Information Disclosure Statement in the above-identified application. This Statement is submitted:

- [] within three months of the application filing date;
- [X] more than three months from the application filing date but before the mailing date of the first Office Action on the merits.

In accordance with 37 C.F.R. § 1.97, submission of this Statement requires no fee. However, if for any reason a fee is due, the Director is hereby authorized to charge payment of any fees required in connection with this Information Disclosure Statement to Deposit Account No. 06-1075. A duplicate copy of this letter is transmitted herewith.

Respectfully submitted,

James F. Haley (Reg. No. 27,794)

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I Hereby Certify that this Correspondence is being Deposited with the U.S. Postal Service as First Class Mail in an Envelope Addressed to: COMMISSIONER FOR PATENTS WASHINGTON, D.C. 20231 on

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FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.
A116 US

SERIAL NO. 09/972,546

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,250,414	10/5/93	Schwab et al.	435	7.72	
	5,684,133	11/4/97	Schwab et al.	530	350	
	5,858,708	1/12/99	Bandman et al.	435	69.1	
	6,025,333	2/15/00	Schwab et al.	514	18	

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
	DOCUMENT NUMBER					YES	NO
	WO 98/06841	02/19/98	PCT				<u> </u>
	WO 99/46281	09/16/99	PCT				<u> </u>
	WO 99/66041	12/23/99	PCT				
	WO 00/05364	02/03/00	PCT				ļ
	WO 00/31235	06/02/00	PCT				
	WO 00/32221	06/08/00	PCT		<u> </u>		
	WO 00/37638	06/29/00	PCT				
	WO 00/53756	09/14/00	PCT				
	WO 00/53758	09/14/00	PCT				
	WO 00/58473	10/05/00	PCT			ļ.———	<u> </u>
	WO 00/70050	11/23/00	PCT				<u> </u>
	WO 00/73452	12/07/00	PCT			<u> </u>	
	WO 01/09162	02/08/01	PCT		<u> </u>	<u> </u>	
	WO 01/51520	07/19/01	PCT				

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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) **EXAMINER** INITIAL C.E. Bandtlow, et al., "NI-35/250/Nogo-A: A Neurite Growth Inhibitor Restricting Structural Plasticity and Regeneration of Nerve Fibers in the Adult Vertebrate CNS," Glia, 29(2), pp. 175-181 (2000). M.S. Chen, et al., "Nogo-A is a Myelin-Associated Neurite Outgrowth Inhibitor and an Antigen for Monoclonal Antibody IN-1," Nature, 403(6768), pp. 434-439 (2000). A.E. Fournier, et al., "Identification of a Receptor Mediating Nogo-66 Inhibition of Axonal Regeneration," Nature, 409(6818), pp. 341-346 (2001). T. GrandPre, et al., "Identification of the Nogo Inhibitor of Axon Regeneration as a Reticulon Protein," Nature, 403(6768), pp. 439-444 (2000). P. Hu, et al., "Homo Sapiens Chromosome 22q11 PAC Clone p215k21 Distal to DGCR Region," EMBL Database Entry AC006549, Accession No. AC006549 (1999). A.B. Huber, et al., "Nogo-A, a Potent Inhibitor of Neurite Outgrowth and Regeneration," Biol. Chem., 381(5-6), pp. 407-419 (2000). D. Merkler, et al., "Locomotor Recovery in Spinal Cord-Injured Rats Treated with an Antibody Neutralizing the Myelin-Associated Neurite Growth Inhibitor Nogo-A," J. Neurosci., 21(10), pp. 3665-3673 (2001). M. Oudega, et al., "Neutralizing Antibodies Against Neurite Growth Inhibitor NI-35/250 Do Not Promote Regeneration of Sensory Axons in the Adult Rat Spinal Cord," Neuroscience, 100(4), pp. 873-883 (2000).R. Prinjha, et al., "Inhibitor of Neurite Outgrowth in Humans," Nature, 403(6768), pp. 383-384 (2000). O. Raineteau, et al., "Sprouting and Regeneration After Pyramidotomy and Blockade of the Myelin-Associated Neurite Growth Inhibitors N1 35/250 in Adult Rats," Eur. J. Neurosci., 11(4), pp. 1486-1490 (1999). O. Raineteau, et al., "Functional Switch Between Motor Tracts in the Presence of the mAB IN-1 in the Adult Rat," Proc. Natl. Acad. Sci. U.S.A., 98(12), pp. 6929-6934 (2001). A.A. Spillmann, et al., "Identification and Characterization of a Bovine Neurite Growth Inhibitor (bNI-22)," J. Biol. Chem., 273(30), pp. 19283-19293 (1998). M. Tatagiba, et al., "Regeneration of Injured Axons in the Adult Mammalian Central Nervous System," Neurosurgery, 40(3), pp. 541-547 (1997). M. Thallmair, et al., "Neurite Growth Inhibitors Restrict Plasticity and Functional Recovery Following Corticospinal Tract Lesions," Nat. Neurosci., 1(2), pp. 124-131(1998). W.J. Z'Graggen, et al., "Functional Recovery and Enhanced Corticofugal Plasticity After Unilateral

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